

Self-Certification of Residency

Instructions for Completion

We are obliged under the Inter-Governmental Agreement ("IGA"), Tax Information Exchange Agreements and any and all enactments supporting their implementation to improve tax compliance in the region in accordance with the Automatic Exchange of Information (AEOI) amongst international Tax Authorities. As a result, the NCB Financial Group Limited (NCBFG)¹ is obliged to collect certain information about each account holder's tax arrangements.

Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities. Terms referenced in this form shall have the same meaning as applicable under the Tax Information Exchange Agreements regulations and/or guidance notes.

If any of the information below about your tax residence or FATCA/CRS classification changes in the future, please ensure you advise us of these changes promptly. If you have any questions about how to complete this form, please contact your tax advisor.

Please note this form should be completed by Individuals and Sole Proprietors only. Where there are joint account holders, each account holder is required to complete a separate Self-Certification of Residency form.

Section 1: Account Holder Identification

Name	Date of Birth (dd/mm/yyyy)	Country of Birth
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Permanent Residence Address:

Number & Street	City/Town
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State/Province/County	Post Code	Country
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Mailing Address (if different from above):

Number & Street	City/Town
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State/Province/County	Post Code	Country
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Section 2: Declaration of U.S. Citizenship or U.S. Residence for Tax purposes

Please tick either (a) or (b) or (c) and complete as appropriate.

(a) I confirm that I am a Specified U.S. Personⁱⁱ and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

_____.

(b) I confirm that I was born in the U.S. (or a U.S. territory) but I am no longer a U.S. citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.

(c) I confirm that I am not a Specified U.S. Person.

Complete section 3 if you have non-U.S. tax residencies.

Section 3: Declaration of Tax Residency (other than U.S.)

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country).

Country/countries of tax residency	Tax reference number type	Tax reference number

* If you have not provided a tax reference number or functional equivalent, please indicate "Not Applicable" and state below the reason for this, e.g. if a jurisdiction does not issue a tax reference number.

Reason for non-availability of a tax reference number:

Section 4: Declaration, Undertaking and Consent

- I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.
- I undertake to advise NCBFG promptly and provide an updated Self-Certification of Residency form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete.
- I hereby consent to NCBFG sharing (whether directly or indirectly) with tax authorities or such other party the information contained in this form and/or a copy of this form, information pertaining to my accounts within the Group, and to disclose any additional documentation or information that is in the possession of NCBFG.

Signature:	Date: (dd/mm/yyyy):
Print Name of Signatory	Capacity in which signatory is acting (if form is not signed by beneficial owner)

Section 5: Staff Member Certification

Following my assessment of the AML/KYC information and documentation provided by the above-mentioned customer, I confirm that the self-certification provided seems:

Reasonable

Unreasonable and the Account Holder requested to provide a revised Self-Certification of Residency form.

Signature:	Date: (dd/mm/yyyy):
Print Name of Signatory	

ⁱ NCBFG refers to the NCB Financial Group Limited and includes any one or all of the following companies: National Commercial Bank Jamaica Limited; NCB Capital Markets Limited; West Indies Trust Company Limited; NCB (Cayman) Limited; NCB Capital Markets (Cayman) Limited; NCB Merchant Bank Trinidad and Tobago Limited; NCB Capital Markets (Barbados) Limited.

ⁱⁱ A Specified U.S. Person means:

- a. U.S. citizens residing in the U.S. or overseas,
- b. Green card holders,
- c. U.S. Passport holders, and
- d. "Tax residents" or persons who have stayed in the U.S. for several consecutive days during the past three years. To meet this requirement, one must be physically present in the United States on at least:

a. 31 days during the current year, and

- b. 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting:
 - All the days you were present in the current year, and
 - 1/3 of the days you were present in the first year before the current year, and
 - 1/6 of the days you were present in the second year before the current year.